

### Core Service

- ❑ **Account Information** — Obtain detailed account information including positions, balances, activity, and more.
- ❑ **Trading** — Buy or sell stocks; buy, redeem, or exchange mutual funds; and buy, sell, or close options.
- ❑ **Delayed Quotes** — Unlimited access to delayed stock, mutual fund, option, and index quotes from all major U.S. exchanges. This feature is available with your subscription to the core NetExchange Pro for Windows® service. Using Quote Track™, you can also track up to 40 different portfolios with up to 20 positions in each portfolio.
- ❑ **Annual Reports** — Order annual reports from over 3,000 publicly held companies and even receive free shipping and handling.
- ❑ **InstantEDGAR** — Access a company's SEC filings such as 10-K's and 10-Q's from the EDGAR database.
- ❑ **Rateboard** — View current interest rates, currency rates, commodities, and stock and option indices.
- ❑ **Online Application Processing** — Open new accounts by submitting the applications for approval online. In addition, you can view the status of the applications online. The applications must be printed to obtain your client's signature.
- ❑ **Ticker** — Monitor the stocks you own, the most active stocks, and market indices with your own personal scrolling stock ticker.

### Optional Content

- ❑ **Reuters News and Commentary** — Keep up-to-date with the latest market headlines from Reuters. Also as part of this service, retrieve the latest news from PR Newswire and BusinessWire. Select headlines or full stories by category or by symbol.
- ❑ **Real-Time Quotes** — Obtain up to 500 stock, option, and mutual fund quotes each month in the basic package. Users can create up to 40 Quote Tracks with up to 20 symbols in each track. *Each real-time quote after the first 500 will be charged at the rate of \$0.02 per inquiry. Unlimited real-time quotes and streaming quotes can also be purchased on an individual basis.*
- ❑ **Pershing Investment Research** — Included in this service are Morning Comment, Market Wrap-Up, Credit & Interest, Weekend Report, and analysts' reports on approximately 400 companies. This service also includes complimentary access to FundScan™, which provides the ability to search among 10,000 mutual funds using a variety of criteria and view a detailed profile on each fund.
- ❑ **Intraday and Historical Charts** — Create impressive charts on over 10,000 stocks and mutual funds.
- ❑ **Market Edge™ Full Service** — Get a "Second Opinion™" before you make your next investment decision using unbiased, computer-generated recommendations that are easy to understand. Also access Market Edge™ to search for trading ideas, viewpoint and figure breakouts, and to utilize other valuable resources.
- ❑ **Standard & Poor's Five-Page Reports** — Access thousands of in-depth company reports from S&P. This service also includes complimentary access to StockScan™, which provides the ability to search for stocks using a variety of criteria.
- ❑ **Standard & Poor's MarketScope®** — Access late-breaking market and company news, commentary, STAR (Standard & Poor's Stock Appreciation System) ratings on companies, and more.
- ❑ **Standard & Poor's FundAdvisor** — Offers comprehensive statistical and analytical information on more than 11,000 equity, bond and money market funds from S&P's top analysts. Mutual fund reports, fund manager interviews and profiles, and a hypothetical tool to create professional investment illustrations, are just a few of the features.
- ❑ **Thomson's CDA Insider and Institutional Activity Reports** — View the latest insider activity and institutional holdings on thousands of companies. Fundamentals, key ratios, and First Call earnings estimates are also provided on each company.
- ❑ **Advisor Tools** — Automate investment advisory or financial planning activity with asset allocation, portfolio modeling, equity and mutual fund order blasting, and portfolio rebalancing functionality.
- ❑ **Dow Jones Financial Advisor Service** - A focused set of news stories designed and selected for the unique needs of financial advisors.
- ❑ **Dow Jones News Service** — Drawing on Dow Jones' global network of reporters and editors, DJNS transmits more than 3,000 items on a busy news day. The News Service covers corporate developments, US & Canadian equity markets, worldwide geopolitical & economic news and much more.
- ❑ **CSFB Research** Get award-winning equity research from Credit Suisse First Boston on a real-time basis. Features include intraday research, daily research notes, weekly reviews, monthly analysis, company and industry reports, and search functionality.
- ❑ **Brokers Ally Pro™** — Available for download via CD, this tailored version of software includes 30 customizable data fields designed specifically for Pershing correspondents. This integrated database and word processing system enables users to manage customer and prospect relationships in one place.
- ❑ **NetExchange Pro Mobile** — Access client account information and trading capabilities on the go, anytime, anywhere. Available for various handheld devices including the Palm Vx, Palm VIIx, HandSpring Prism, and HandSpring Platinum.

- ❑ **Time and Sales** — This service from ComStock® provides equities data, including last sale, bid and ask values on a tick by tick basis for up to 20 days. *Users must have a separate entitlement to either Unlimited Real-Time Quotes or Streaming Real-Time Quotes in order to access the Time and Sales feature. Separate fees apply for these services.*
- ❑ **Historical Pricing** — access historical pricing for individual securities (equities and indexes) going back up to 10 years.
- ❑ **NetExchange Pro Financial Planning NaviPlan Standard®** - Utilize the NaviPlan Standard financial planning tool that focuses on several key areas including: retirement, education, major purchase, life, disability and estate planning while also providing the ability to conduct Monte Carlo simulation. NaviPlan is fully integrated into NetExchange Pro and allows users to import client demographic and holding information directly from NetExchange Pro.
- ❑ **NetExchange Pro Financial Planning NaviPlan Extended®** - A sophisticated financial planning tool designed for advisors who deal with complex planning scenarios. NaviPlan Extended is renowned in the industry for enabling detailed cash flow-based planning analysis and comprehensive treatment of incomes, expenses, assets and liabilities, stock options, federal and state taxes, and advanced estate planning strategies.